

PREA Facility Audit Report: Final

Name of Facility: Central Detention Facility

Facility Type: Prison / Jail

Date Interim Report Submitted: NA

Date Final Report Submitted: 04/29/2024

| Auditor Certification | |
|---|--------------------------------------|
| The contents of this report are accurate to the best of my knowledge. | <input type="checkbox"/> |
| No conflict of interest exists with respect to my ability to conduct an audit of the agency under review. | <input type="checkbox"/> |
| I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template. | <input type="checkbox"/> |
| Auditor Full Name as Signed: Ian Rachal | Date of Signature: 04/29/2024 |

| AUDITOR INFORMATION | |
|-------------------------------------|---------------------|
| Auditor name: | Rachal, Ian |
| Email: | irachal@lahcari.com |
| Start Date of On-Site Audit: | 08/23/2023 |
| End Date of On-Site Audit: | 08/25/2023 |

| FACILITY INFORMATION | |
|-----------------------------------|---|
| Facility name: | Central Detention Facility |
| Facility physical address: | 1901 D Street Southeast, Washington, Dist. Columbia - 20003 |
| Facility mailing address: | Dist. Columbia |

| Primary Contact |
|-----------------|
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|--------------------------|--------------------------|
| Name: | Cicily Harrington |
| Email Address: | cicily.harrington@dc.gov |
| Telephone Number: | 2022852842 |

| Warden/Jail Administrator/Sheriff/Director | |
|---|-------------------------|
| Name: | Manuel Williams |
| Email Address: | manuel.williams2@dc.gov |
| Telephone Number: | 2025237010 |

| Facility PREA Compliance Manager | |
|---|--|
| Name: | |
| Email Address: | |
| Telephone Number: | |

| Facility Health Service Administrator On-site | |
|--|--------------------|
| Name: | Beth Jordan |
| Email Address: | beth.jordan@dc.gov |
| Telephone Number: | 2026712157 |

| Facility Characteristics | |
|--|-------|
| Designed facility capacity: | 2164 |
| Current population of facility: | 944 |
| Average daily population for the past 12 months: | 973 |
| Has the facility been over capacity at any point in the past 12 months? | No |
| Which population(s) does the facility hold? | Males |

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| Age range of population: | 18-99 |
| Facility security levels/inmate custody levels: | Minimum, medium and maximum pretrial and sentenced |
| Does the facility hold youthful inmates? | No |
| Number of staff currently employed at the facility who may have contact with inmates: | 1013 |
| Number of individual contractors who have contact with inmates, currently authorized to enter the facility: | 128 |
| Number of volunteers who have contact with inmates, currently authorized to enter the facility: | 38 |

| AGENCY INFORMATION | |
|--|--|
| Name of agency: | District of Columbia Department of Corrections |
| Governing authority or parent agency (if applicable): | |
| Physical Address: | 2000 14th Street Northwest, Washington, Dist. Columbia - 20009 |
| Mailing Address: | |
| Telephone number: | |

| Agency Chief Executive Officer Information: | |
|--|--|
| Name: | |
| Email Address: | |
| Telephone Number: | |

| Agency-Wide PREA Coordinator Information | | | |
|---|-------------------|-----------------------|--------------------------|
| Name: | Cicily Harrington | Email Address: | cicily.harrington@dc.gov |

Facility AUDIT FINDINGS

Summary of Audit Findings

The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

Number of standards exceeded:

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| 0 |
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Number of standards met:

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|----|
| 45 |
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Number of standards not met:

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|---|
| 0 |
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POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

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| 1. Start date of the onsite portion of the audit: | 2023-08-23 |
| 2. End date of the onsite portion of the audit: | 2023-08-25 |

Outreach

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| 10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| a. Identify the community-based organization(s) or victim advocates with whom you communicated: | NVRDC |

AUDITED FACILITY INFORMATION

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| 14. Designated facility capacity: | 2164 |
| 15. Average daily population for the past 12 months: | 1350 |
| 16. Number of inmate/resident/detainee housing units: | 16 |
| 17. Does the facility ever hold youthful inmates or youthful/juvenile detainees? | <input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility) |

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

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| 36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit: | 1070 |
| 38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit: | 0 |
| 39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit: | 2 |
| 40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit: | 0 |
| 41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit: | 0 |
| 42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit: | 19 |
| 43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit: | 4 |

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| <p>44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</p> | <p>4</p> |
| <p>45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |
| <p>46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</p> | <p>3</p> |
| <p>47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</p> | <p>0</p> |
| <p>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p> | <p>No text provided.</p> |
| <p>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</p> | |
| <p>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p> | <p>1070</p> |
| <p>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p> | <p>169</p> |

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| <p>51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p> | <p>226</p> |
| <p>52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</p> | <p>No text provided.</p> |
| <p>INTERVIEWS</p> | |
| <p>Inmate/Resident/Detainee Interviews</p> | |
| <p>Random Inmate/Resident/Detainee Interviews</p> | |
| <p>53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</p> | <p>25</p> |
| <p>54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</p> | <p> <input type="checkbox"/> Age <input checked="" type="checkbox"/> Race <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) <input type="checkbox"/> Length of time in the facility <input checked="" type="checkbox"/> Housing assignment <input type="checkbox"/> Gender <input type="checkbox"/> Other <input type="checkbox"/> None </p> |
| <p>55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</p> | <p>Interviewed multiple random inmates in each area.</p> |
| <p>56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?</p> | <p> <input checked="" type="radio"/> Yes <input type="radio"/> No </p> |

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| 57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation): | No text provided. |
| Targeted Inmate/Resident/Detainee Interviews | |
| 58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed: | 40 |
| <p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p> | |
| 60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol: | 2 |
| 61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol: | 2 |
| 62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol: | 0 |

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| <p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p> | <p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p> |
| <p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p> | <p>Reviewed available information. Found no inmates meeting the criteria.</p> |
| <p>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>1</p> |
| <p>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p> | <p>3</p> |
| <p>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p> | <p>2</p> |
| <p>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p> | <p>1</p> |

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| <p>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</p> | <p>2</p> |
| <p>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p> | <p>2</p> |
| <p>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</p> | <p>1</p> |
| <p>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p> | <p>No text provided.</p> |
| <p>Staff, Volunteer, and Contractor Interviews</p> | |
| <p>Random Staff Interviews</p> | |
| <p>71. Enter the total number of RANDOM STAFF who were interviewed:</p> | <p>15</p> |

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| <p>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</p> | <p><input type="checkbox"/> Length of tenure in the facility</p> <p><input checked="" type="checkbox"/> Shift assignment</p> <p><input checked="" type="checkbox"/> Work assignment</p> <p><input checked="" type="checkbox"/> Rank (or equivalent)</p> <p><input type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)</p> <p><input type="checkbox"/> None</p> |
| <p>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |
| <p>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p> | <p>No text provided.</p> |
| <p>Specialized Staff, Volunteers, and Contractor Interviews</p> | |
| <p>Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.</p> | |
| <p>75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</p> | <p>14</p> |
| <p>76. Were you able to interview the Agency Head?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |
| <p>77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> |

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| 78. Were you able to interview the PREA Coordinator? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| 79. Were you able to interview the PREA Compliance Manager? | <input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards) |

80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

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| | <input type="checkbox"/> Other |
| 81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| a. Enter the total number of VOLUNTEERS who were interviewed: | 3 |
| b. Select which specialized VOLUNTEER role(s) were interviewed as part of this audit from the list below: (select all that apply) | <input type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input checked="" type="checkbox"/> Mental health/counseling <input checked="" type="checkbox"/> Religious <input type="checkbox"/> Other |
| 82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility? | <input checked="" type="radio"/> Yes <input type="radio"/> No |
| a. Enter the total number of CONTRACTORS who were interviewed: | 1 |
| b. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply) | <input checked="" type="checkbox"/> Security/detention <input type="checkbox"/> Education/programming <input type="checkbox"/> Medical/dental <input type="checkbox"/> Food service <input type="checkbox"/> Maintenance/construction <input type="checkbox"/> Other |
| 83. Provide any additional comments regarding selecting or interviewing specialized staff. | No text provided. |

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

84. Did you have access to all areas of the facility?

Yes

No

Was the site review an active, inquiring process that included the following:

85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?

Yes

No

86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?

Yes

No

87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?

Yes

No

88. Informal conversations with staff during the site review (encouraged, not required)?

Yes

No

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| <p>89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</p> | <p>No text provided.</p> |
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Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

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| <p>90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</p> | <p><input checked="" type="radio"/> Yes <input type="radio"/> No</p> |
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| <p>91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).</p> | <p>No text provided.</p> |
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SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

| | # of sexual abuse allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|--------------------------------------|-------------------------------|------------------------------|------------------------------------|---|
| Inmate-on-inmate sexual abuse | 18 | 2 | 16 | 0 |
| Staff-on-inmate sexual abuse | 10 | 0 | 10 | 0 |
| Total | 28 | 2 | 26 | 0 |

93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

| | # of sexual harassment allegations | # of criminal investigations | # of administrative investigations | # of allegations that had both criminal and administrative investigations |
|---|------------------------------------|------------------------------|------------------------------------|---|
| Inmate-on-inmate sexual harassment | 18 | 0 | 18 | 0 |
| Staff-on-inmate sexual harassment | 29 | 0 | 29 | 0 |
| Total | 47 | 0 | 47 | 0 |

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|--------------------------------------|---------|--------------------------|----------------------------|------------------------|-----------|
| Inmate-on-inmate sexual abuse | 2 | 2 | 0 | 0 | 0 |
| Staff-on-inmate sexual abuse | 0 | 0 | 0 | 0 | 0 |
| Total | 2 | 2 | 0 | 0 | 0 |

95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|--------------------------------------|---------|-----------|-----------------|---------------|
| Inmate-on-inmate sexual abuse | 0 | 15 | 2 | 0 |
| Staff-on-inmate sexual abuse | 0 | 9 | 1 | 0 |
| Total | 0 | 24 | 3 | 0 |

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Referred for Prosecution | Indicted/ Court Case Filed | Convicted/ Adjudicated | Acquitted |
|---|---------|--------------------------|----------------------------|------------------------|-----------|
| Inmate-on-inmate sexual harassment | 0 | 0 | 0 | 0 | 0 |
| Staff-on-inmate sexual harassment | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 0 | 0 | 0 | 0 |

97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

| | Ongoing | Unfounded | Unsubstantiated | Substantiated |
|---|---------|-----------|-----------------|---------------|
| Inmate-on-inmate sexual harassment | 0 | 10 | 6 | 1 |
| Staff-on-inmate sexual harassment | 29 | 0 | 0 | 0 |
| Total | 29 | 10 | 6 | 1 |

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

98. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:

8

| | |
|---|---|
| <p>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p> |
| <p>Inmate-on-inmate sexual abuse investigation files</p> | |
| <p>100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p> | <p>4</p> |
| <p>101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p> |
| <p>102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p> |
| <p>Staff-on-inmate sexual abuse investigation files</p> | |
| <p>103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p> | <p>4</p> |
| <p>104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p> | <p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p> |

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| <p>105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p> | <p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p> |
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Sexual Harassment Investigation Files Selected for Review

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| <p>106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</p> | <p>4</p> |
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| <p>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p> |
|---|---|

Inmate-on-inmate sexual harassment investigation files

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| <p>108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p> | <p>4</p> |
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| <p>109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</p> | <p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p> |
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| <p>110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p> | <p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p> |
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| Staff-on-inmate sexual harassment investigation files | |
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| 111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled: | 4 |
| 112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations? | <input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files) |
| 113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations? | <input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files) |
| 114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files. | No text provided. |
| SUPPORT STAFF INFORMATION | |
| DOJ-certified PREA Auditors Support Staff | |
| 115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly. | <input type="radio"/> Yes <input checked="" type="radio"/> No |

Non-certified Support Staff

116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

Yes

No

AUDITING ARRANGEMENTS AND COMPENSATION

121. Who paid you to conduct this audit?

The audited facility or its parent agency

My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

A third-party auditing entity (e.g., accreditation body, consulting firm)

Other

| Standards | |
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| Auditor Overall Determination Definitions | |
| <ul style="list-style-type: none"> • Exceeds Standard (Substantially exceeds requirement of standard) • Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) • Does Not Meet Standard (requires corrective actions) | |
| Auditor Discussion Instructions | |
| <p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p> | |

| 115.11 | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator |
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| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.11 of the PREA standards, which establishes a zero-tolerance policy towards sexual abuse and sexual harassment and designates a PREA Coordinator to oversee all activities associated with its implementation.</p> <p>Organizational Structure Review: Our audit included a detailed review of the organizational structure, which clearly outlines the PREA Coordinator's role and responsibilities. The PREA Coordinator has sufficient authority and access to all necessary resources to effectively oversee the agency's efforts to prevent, detect, and respond to sexual abuse and harassment.</p> <p>Staff Interviews: Interviews with staff revealed a general concern about safety due to the challenging nature of the correctional environment. However, there was a strong expression of confidence in the facility's PREA-related practices and in the PREA Coordinator’s leadership. Staff members feel supported in addressing sexual abuse and harassment, and they understand the procedures and policies in place, which</p> |

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| | <p>reinforces the zero-tolerance policy.</p> <p>Security Practices and Physical Plant Review: The audit assessed the facility's security practices and physical layout, finding that both are strategically designed to prevent and respond to incidents of sexual abuse and harassment. Modifications and upgrades are made as necessary to enhance safety and monitoring capabilities.</p> <p>Facility Culture and Inmate Interviews: The facility culture is geared towards promoting respect and safety, with inmates expressing awareness of the zero-tolerance policy. Inmate interviews indicated a general awareness of their rights and the mechanisms available for reporting abuse. While there are concerns typical of a correctional environment, inmates acknowledge the responsiveness of staff and the PREA Coordinator to any reported incidents.</p> <p>Public Input: Review of public input revealed concerns primarily focused on general facility conditions and operations, rather than specific PREA-related issues. This input, however, is continuously monitored and considered as part of the facility's ongoing assessment and improvement strategies in PREA compliance.</p> <p>DCDOC's compliance with Standard 115.11 demonstrates its strong commitment to maintaining a zero-tolerance policy towards sexual abuse and harassment. Under the guidance of a dedicated and empowered PREA Coordinator, the facility continuously works to strengthen its preventive and responsive strategies, ensuring a safe environment for all inmates and staff.</p> |
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| 115.12 | Contracting with other entities for the confinement of inmates |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.12 of the PREA standards, which requires that all contracts for the confinement of inmates with external entities include the entity's obligation to adopt and comply with PREA standards.</p> <p>Review of Contracts: Our audit included a thorough examination of contracts between DCDOC and other entities involved in the confinement of inmates. The review confirmed that each contract explicitly stipulates adherence to PREA standards. These provisions ensure that all contracted facilities operate under the same zero-tolerance policy towards sexual abuse and sexual harassment as DCDOC. Contracts also require regular reporting and auditing to ensure ongoing compliance with these standards.</p> <p>The contracts detail the responsibilities of the contracted entities in preventing, detecting, and responding to sexual abuse and harassment. They include requirements for training, incident reporting, and access to victim services, mirroring the policies and procedures in place at DCDOC.</p> |

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| | <p>Enforcement and Oversight: DCDOC actively monitors compliance through regular audits and visits to contracted facilities, ensuring that they uphold the PREA standards specified in their contracts. These checks help maintain a consistent level of safety and care across all facilities housing DCDOC inmates.</p> <p>DCDOC's commitment to Standard 115.12 ensures that its zero-tolerance policy towards sexual abuse and harassment extends beyond its direct operations to include all contracted entities. This approach not only aligns with federal mandates but also reflects DCDOC's dedication to inmate safety and human rights, regardless of where inmates are housed.</p> |
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| 115.13 | Supervision and monitoring |
| | Auditor Overall Determination: Meets Standard |
| | <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.13 of the PREA standards, ensuring that the supervision and monitoring within its facilities are sufficient to protect inmates from sexual abuse.</p> <p>Review of Shift Logs and Relief Factors: Our audit included an examination of shift logs and relief factors, which provided detailed insights into staffing patterns and how they align with the operational needs of the facility. The logs showed that DCDOC maintains adequate staffing levels to ensure effective supervision, with relief factors calculated to maintain constant oversight without gaps during shift changes or other transitions.</p> <p>Review of Deviations: We also reviewed records of deviations from standard operational procedures, such as unexpected shifts in staffing or emergency situations. These deviations were well-documented, with justifications and mitigating actions to ensure continued inmate safety. Internal reviews of these deviations helped identify potential areas for improvement in staffing and supervision protocols.</p> <p>Internal Reviews: Internal review documents were assessed to understand how DCDOC evaluates its own supervision practices. These reviews regularly assess the effectiveness of current staffing levels and technological aids like surveillance cameras, leading to adjustments based on identified needs.</p> <p>Camera Schematics: The review included an analysis of camera schematics to ensure coverage areas are adequate and strategically placed to monitor potential high-risk areas. The schematics confirmed that cameras are positioned to provide comprehensive coverage, with no blind spots in critical areas.</p> <p>Staff Interviews: Interviews with facility staff provided additional context regarding the application of supervision and monitoring strategies. Staff expressed confidence in the surveillance systems and their own ability to monitor inmates effectively. They</p> |

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| | <p>also noted the importance of the training they receive on supervision techniques and the use of technology to prevent sexual abuse.</p> <p>DCDOC's compliance with Standard 115.13 is evidenced by its rigorous approach to ensuring adequate supervision and monitoring throughout its facilities. By maintaining proper staffing levels, utilizing advanced surveillance technology, and conducting regular reviews of supervision practices, DCDOC upholds its commitment to preventing sexual abuse and ensuring the safety of all inmates.</p> |
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| 115.14 | Youthful inmates |
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| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) is compliant with Standard 115.14 of the PREA standards, which aims to provide heightened protections for youthful inmates housed in adult facilities. Notably, DCDOC does not house youthful inmates, which simplifies compliance but still requires due diligence to ensure that the policy and its implications are clearly understood and documented.</p> <p>Review of Housing Reports: Our audit included a review of housing reports, confirming that DCDOC does not house any inmates under the age of 18 within its facilities. These reports are meticulously maintained and regularly reviewed to ensure that no youthful inmates are accidentally placed in any of the adult facilities under DCDOC's jurisdiction.</p> <p>Staff Interviews: Interviews with staff members further affirmed that DCDOC does not house youthful inmates. Staff were aware of the policies related to the prohibition of housing youthful inmates in adult facilities and understood the reasons and regulations behind this policy, including those stipulated by PREA.</p> <p>DCDOC's approach to compliance with Standard 115.14, although straightforward due to the lack of youthful inmates in their system, remains vigilant.</p> |

| 115.15 | Limits to cross-gender viewing and searches |
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| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.15 of the PREA standards, ensuring that policies are in place to limit cross-gender viewing and searches, thus respecting the privacy and dignity of inmates.</p> |

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| | <p>Facility Tour: Our audit included a comprehensive tour of the DCDOC facilities, during which we observed the physical layout and practices related to cross-gender viewing and searches. The design of the facility minimizes opportunities for cross-gender viewing, especially in areas where inmates are particularly vulnerable, such as changing areas, showers, and restrooms. During the tour, it was evident that the facility has implemented architectural modifications where necessary to enhance privacy.</p> <p>Review of Training Materials: We reviewed the training materials provided to staff, which include detailed sections on the procedures and policies regarding cross-gender viewing and searches. The materials emphasize respect for inmate privacy and the need to conduct searches in a professional and respectful manner. Training also covers scenarios in which cross-gender searches might be necessary (such as in emergencies) and the specific protocols that must be followed.</p> <p>Staff Training Rosters: Examination of the training rosters confirmed that all security staff had received training on these policies within the last year, ensuring that all personnel are up-to-date with current practices and standards. The rosters also showed ongoing refresher training, underscoring DCDOC's commitment to continuous education and adherence to PREA standards.</p> <p>DCDOC's compliance with Standard 115.15 demonstrates its dedication to maintaining the dignity and privacy of inmates while ensuring facility security. By implementing strict policies on cross-gender viewing and searches and by providing comprehensive training to staff, DCDOC upholds its commitment to the PREA standards and the rights of the inmates under its care.</p> |
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| 115.16 | Inmates with disabilities and inmates who are limited English proficient |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.16 of the PREA standards, ensuring that inmates with disabilities and those who are limited English proficient have full access to its programs and services, particularly regarding safety and prevention of sexual abuse.</p> <p>Review of Contracts: Our audit reviewed contracts related to the provision of services for inmates with disabilities and LEP inmates. These contracts include agreements with external service providers who offer interpreter services, translation services, and accessibility support tailored to the needs of these populations. The contracts ensure that these services meet appropriate standards to provide effective communication aids, which are crucial for understanding and responding to safety procedures and PREA policies.</p> |

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| | <p>Review of Inmate Educational Materials: We examined the educational materials provided to inmates, noting that all materials related to sexual abuse prevention and reporting are available in formats accessible to inmates with disabilities and in multiple languages suitable for LEP inmates. These materials include braille, large print, and translated documents, ensuring that all inmates, regardless of their physical abilities or language skills, have access to crucial information.</p> <p>Inmate Interviews: Interviews with inmates with disabilities and LEP inmates confirmed that they feel well-informed about their rights and the resources available to them. Inmates expressed satisfaction with the auxiliary aids provided and the effectiveness of language assistance services, which help them understand and navigate the facility’s policies and procedures.</p> <p>DCDOC’s adherence to Standard 115.16 underscores its commitment to inclusivity and accessibility, ensuring that all inmates, regardless of disability or language proficiency, can access the necessary resources to protect their safety and rights. By integrating comprehensive support services and accessible educational materials into its operations, DCDOC promotes an equitable environment conducive to the safety and well-being of all inmates.</p> |
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| 115.17 | Hiring and promotion decisions |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.17 of the PREA standards, which ensures thorough screening processes are in place for hiring and promoting staff, aimed at preventing the employment of individuals who may pose a risk of sexual abuse.</p> <p>Review of Background Checks: Our audit included a review of the background check procedures as part of the hiring and promotion processes at DCDOC. This review confirmed that DCDOC conducts comprehensive background checks on all potential hires and candidates for promotion. These checks include searches of criminal databases, sex offender registries, and reference checks to gather information on past criminal actions or allegations related to sexual abuse or misconduct.</p> <p>Staff Interviews: Interviews with Human Resources staff and management involved in hiring and promotions revealed a deep understanding of the importance of these background checks. Staff articulated the rigorous criteria used to assess candidates, emphasizing the zero-tolerance policy for sexual abuse. They also discussed the ongoing re-evaluation of employees, noting that background checks are periodically updated to ensure continued compliance and integrity.</p> <p>Review of Policies: Examination of DCDOC’s policies related to hiring and promotions showed clear guidelines ensuring that any findings from background checks that</p> |

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| | <p>suggest a history of sexual abuses disqualify candidates from consideration. The policies also detail the steps taken to ensure transparency and fairness in the hiring process while maintaining a high standard of safety and security within the facility.</p> <p>DCDOC's commitment to stringent hiring and promotion practices as per Standard 115.17 reflects its proactive approach to safeguarding against sexual abuse. By implementing thorough background checks and maintaining strict hiring standards, DCDOC ensures that its workforce is equipped and trustworthy, reinforcing the safety and security of the facility and its inmates.</p> |
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| 115.18 | Upgrades to facilities and technologies |
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| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.18 of the PREA standards, ensuring that technological and facility upgrades are continually assessed and implemented to enhance protections against sexual abuse.</p> <p>Review of Procurement Contracts: Our audit included a detailed examination of procurement contracts related to facility and technological upgrades. These contracts detailed purchases and installations of advanced surveillance systems, including upgraded camera systems with broader coverage and higher resolution. The procurement process is aligned with DCDOC's strategy to enhance monitoring capabilities and safeguard vulnerable areas within the facility.</p> <p>Review of Camera Upgrades: We also reviewed specific upgrades made to the camera systems within DCDOC facilities. The upgrades included the installation of additional cameras in strategic locations, enhancements to recording capabilities, and integration of newer technology that allows for better monitoring and review of video footage. These upgrades are crucial for providing continuous and comprehensive surveillance, significantly enhancing the ability to detect and respond to incidents of sexual abuse.</p> <p>DCDOC's commitment to upgrading its facilities and technologies as per Standard 115.18 reflects its dedication to maintaining a secure environment. By prioritizing investments in advanced surveillance technologies and facility improvements, DCDOC not only enhances its capacity to protect inmates from sexual abuse but also strengthens overall security and operational efficiency.</p> |

| 115.21 | Evidence protocol and forensic medical examinations |
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| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> |

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| | <p>The facility has demonstrated its commitment to compliance with Standard 115.21 of the PREA standards, ensuring that victims of sexual abuse have access to forensic medical exams performed by qualified healthcare professionals. This commitment is evident in the facility’s proactive approach to addressing and responding to incidents of sexual abuse.</p> <p>In the reporting period, the facility identified three instances where inmates needed forensic examinations. In each case, the facility acted swiftly and with the utmost regard for the victims' dignity, privacy, and well-being. Recognizing the critical nature of timely medical and forensic evaluation following an incident of sexual abuse, the facility coordinated with local healthcare facilities to ensure that these inmates received comprehensive forensic medical examinations at no cost to the inmates.</p> <p>The process for each case was handled with sensitivity and confidentiality, adhering to the protocols that protect the victim’s privacy and facilitate the collection of evidence that could be used in subsequent investigations. The facility ensured that each victim was offered support services, including victim advocacy and counseling, from the initial report through the completion of the forensic exam and beyond.</p> <p>Transportation to and from the healthcare facility was arranged discreetly, and at all times, the victims were accompanied by staff trained in the nuances of dealing with the aftermath of sexual abuse. This approach not only ensured the safety and comfort of the inmates but also underscored the facility's commitment to a victim-centered approach as required by PREA.</p> <p>Following the forensic exams, the facility continued to provide necessary medical and mental health care tailored to the needs of each victim, consistent with the trauma-informed care principles outlined in PREA standards. The facility also took immediate steps to investigate the incidents, utilizing the evidence gathered during the forensic exams to aid in a thorough and impartial investigation process.</p> |
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| 115.22 | Policies to ensure referrals of allegations for investigations |
| | Auditor Overall Determination: Meets Standard |
| | <p>Auditor Discussion</p> <p>The facility has implemented robust policies and procedures that align with Standard 115.22 of the PREA standards, ensuring that all allegations of sexual abuse are referred for investigation to an appropriate agency with the capability to conduct a thorough and objective investigation.</p> <p>Their policy mandates that every allegation of sexual abuse, regardless of the source, be treated with the utmost seriousness and urgency. This commitment is reflected in their comprehensive approach, which includes immediate action to protect the victim</p> |

and preserve any evidence. The policy clearly outlines the steps to be taken upon receiving an allegation, ensuring that staff across all levels understand their roles and responsibilities in the investigative process.

Upon the receipt of an allegation, the facility promptly secures the safety of the alleged victim, ensuring they are separated from the alleged abuser and provided with immediate medical and mental health support, consistent with a victim-centered approach. The facility then notifies the designated investigative agency, which involves collaboration with local law enforcement to ensure an independent and specialized investigation.

The facility's policy also mandates comprehensive staff training on how to handle and refer allegations for investigation, emphasizing the importance of maintaining confidentiality and protecting the rights of all individuals involved. This training is conducted regularly and is part of our ongoing commitment to uphold the highest standards of safety and security within our walls.

To ensure transparency and accountability, the facility maintains detailed records of all steps taken from the moment an allegation is received through the conclusion of the investigation. This includes documentation of notifications made, actions to protect the victim and preserve evidence, and the outcomes of the investigation. Cooperative agreements with local law enforcement and investigative bodies are regularly reviewed and updated to address any emerging challenges and ensure continued compliance with PREA standards.

| 115.31 | Employee training |
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| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.31 of the PREA standards, which mandates comprehensive training for all employees on the dynamics of sexual abuse and sexual harassment in a confinement setting. This commitment is substantiated by our thorough review of the DCDOC's training materials, in-depth employee interviews, and examination of applicable policies.</p> <p>Training Materials: Our audit included a detailed examination of the training materials used during employee training sessions. These materials are updated regularly to reflect the latest insights and legal standards related to sexual abuse and harassment prevention. The content comprehensively covers the right of inmates to be free from sexual abuse and harassment, the right of inmates and employees to be free from retaliation for reporting such incidents, and the agency's policies and procedures for responding to such reports.</p> <p>Employee Interviews: Interviews with employees at various levels within DCDOC revealed a high level of understanding and awareness regarding the dynamics of</p> |

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| | <p>sexual abuse and harassment. Employees expressed confidence in their ability to identify and respond to incidents of sexual abuse, indicating effective training outcomes. They also reported a strong awareness of the mechanisms in place for reporting abuse and the importance of adhering to these procedures to ensure safety and compliance.</p> <p>Applicable Policies: The policies pertaining to employee training are well-documented and accessible. These policies require all employees to undergo training at the commencement of their employment and to participate in refresher courses annually or as needed to remain current on the relevant protocols and legal requirements. The policy ensures that all employees, regardless of their contact with inmates, receive training tailored to their specific responsibilities and the inmate population they serve.</p> <p>Through our comprehensive audit, it is evident that DCDOC not only prioritizes but actively enforces rigorous training protocols to equip its staff with the necessary skills and knowledge to prevent, detect, and respond to sexual abuse and harassment. This proactive approach significantly enhances the safety and security within the facility, ensuring that staff members are well-prepared to uphold the rights and dignity of all inmates.</p> |
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| 115.32 | Volunteer and contractor training |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) has successfully met the requirements of Standard 115.32 of the PREA standards, which mandates that all volunteers and contractors who have contact with inmates are trained on the prevention and detection of sexual abuse and sexual harassment within the facility.</p> <p>Training Records and Curricula Review: Our audit included a thorough examination of the training records and curricula used for volunteers and contractors at DCDOC. These documents show that the training is comprehensive and aligns with the core themes of PREA. The training includes critical topics such as the agency’s zero-tolerance policy towards sexual abuse and harassment, how to recognize signs of potential sexual abuse, and the appropriate ways to report incidents or suspicions of sexual misconduct.</p> <p>Interviews with Volunteers: Additionally, interviews were conducted with a selection of volunteers who regularly interact with inmates. These interviews confirmed that volunteers are well-aware of their responsibilities and the conduct expected of them within the facility. They expressed a clear understanding of the reporting procedures and felt prepared to contribute to the prevention of sexual abuse and harassment. Volunteers also acknowledged receiving this training upon their initial engagement with DCDOC and noted the provision of refresher training sessions to ensure their</p> |

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| | <p>knowledge remains current.</p> <p>Effectiveness of Training: The effectiveness of the training program is evident not only from the documentation and the positive feedback from volunteers but also from the absence of reported incidents involving volunteers or contractors. This indicates a high level of compliance and awareness among these groups, which enhances the overall safety and security within the facility.</p> <p>The DCDOC’s approach to training volunteers and contractors demonstrates a robust commitment to preventing sexual abuse and harassment. By ensuring that all personnel, regardless of their role, receive appropriate and regular training, DCDOC maintains a safe environment that respects the dignity and rights of all inmates.</p> |
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| 115.33 | Inmate education |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.33 of the PREA standards. This standard mandates comprehensive inmate education on the prevention, detection, and response to sexual abuse and sexual harassment, along with related rights and procedures.</p> <p>Participation in Intake Process: During the audit, I participated in the actual intake process at DCDOC to directly observe the dissemination of information to incoming inmates. This process includes a briefing that comprehensively covers the facility’s zero-tolerance policy towards sexual abuse and harassment, ways to report suspected or experienced abuse, and the support services available to victims.</p> <p>Inmate Interviews: Subsequent interviews with inmates confirmed the effectiveness of the intake briefings and ongoing education. Inmates expressed an understanding of their rights and the mechanisms available for reporting abuse. They also indicated that they felt confident in their ability to access help should they need it.</p> <p>Policy Reviews: A review of the facility’s policies further substantiates compliance, as the policies clearly outline the educational requirements and procedures for ensuring all inmates receive the necessary information. These policies are regularly updated to reflect any changes in legislation or facility procedures, ensuring ongoing relevance and compliance.</p> <p>Tour of Facility: The facility tour included inspections of areas where educational materials are displayed prominently, such as dining halls and common areas. These materials are available in languages prevalent among the inmate population, ensuring accessibility and understanding.</p> <p>The DCDOC’s approach to inmate education on sexual abuse and sexual harassment is both proactive and comprehensive, ensuring that all inmates, regardless of their</p> |

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| | <p>literacy or language skills, understand their rights and the resources available to them. The facility's efforts to provide this education promptly at intake and to reinforce the information periodically align with the intent of the PREA standards and contribute significantly to the overall awareness and safety within the facility.</p> |
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| 115.34 | Specialized training: Investigations |
| | <p>Auditor Overall Determination: Meets Standard</p> |
| | <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) is in full compliance with Standard 115.34 of the PREA standards, which requires specialized training for staff responsible for investigating allegations of sexual abuse and sexual harassment within the facility.</p> <p>Training Materials and Records Review: Our audit included a detailed examination of the training materials specifically designed for investigative staff. These materials are comprehensive and tailored to equip staff with the knowledge and skills necessary to conduct thorough and sensitive investigations into allegations of sexual abuse and harassment. The training covers topics such as interviewing techniques, proper use of forensic and physical evidence, and understanding the dynamics of sexual abuse and harassment in a correctional setting.</p> <p>Training Records: Training records were meticulously reviewed to ensure that all investigative staff had completed the required specialized training. These records indicate that all current staff members involved in investigations have not only completed the initial training but also participate in ongoing training sessions to keep their skills and knowledge up to date.</p> <p>Investigation Review: A review of recent investigations was conducted to assess the practical application of the training. This review showed that investigations are handled with the required level of professionalism and expertise, and are conducted in a manner that respects the dignity of all involved and preserves the integrity of the evidence.</p> <p>Staff Interviews: Interviews with investigative staff further validated the effectiveness of the training. Staff expressed confidence in their ability to conduct investigations in accordance with PREA standards, citing specific training components that have enhanced their investigative techniques, particularly in sensitive and complex cases.</p> <p>The DCDOC's commitment to ensuring that investigative staff are well-prepared to handle cases of sexual abuse and harassment is evident from the thoroughness of the training provided and the professionalism with which investigations are conducted. The specialized training not only meets the requirements of Standard 115.34 but also significantly contributes to the overall safety and security of the facility by fostering a competent and responsive investigative team.</p> |

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| 115.35 | Specialized training: Medical and mental health care |
| | Auditor Overall Determination: Meets Standard |
| | <p data-bbox="256 264 544 297">Auditor Discussion</p> <p data-bbox="256 338 1422 495">The DC Department of Corrections (DCDOC) complies with Standard 115.35 of the PREA standards, which requires that the facility maintain a sufficient number of specialized staff trained to respond to incidents of sexual abuse and sexual harassment.</p> <p data-bbox="256 539 1477 775">Staff Training Records Review: An extensive review of the training records confirmed that the DCDOC has dedicated staff who have received specialized training tailored to the complexities of handling sexual abuse and harassment incidents within a correctional environment. The training includes, but is not limited to, crisis intervention, appropriate methods for initial response, and procedures for coordinating with investigative staff.</p> <p data-bbox="256 819 1477 1099">Staff Interviews: Interviews with the specialized staff revealed a deep understanding of and commitment to the roles they perform. They demonstrated knowledge of the best practices for responding to sexual abuse and harassment, including maintaining the confidentiality of the victims, providing support, and facilitating access to medical and mental health services. The staff expressed confidence in their ability to handle incidents effectively, thanks in part to the continuous training and support they receive.</p> <p data-bbox="256 1144 1430 1335">Facility Incidents Review: The review of recent incidents involving allegations of sexual abuse or harassment showed that specialized staff responded promptly and appropriately. The responses were in line with the PREA standards and the training they received, focusing on victim safety, preservation of evidence, and immediate care and support.</p> <p data-bbox="256 1379 1445 1615">The DCDOC ensures that its specialized staff are not only well-trained but also adequate in number to meet the needs of the facility's population. This preparation and staffing level enhance the facility's capability to respond effectively to incidents of sexual abuse and harassment, ensuring that all responses are handled with professionalism and empathy, thereby maintaining the safety and dignity of the inmate population.</p> |

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| 115.41 | Screening for risk of victimization and abusiveness |
| | Auditor Overall Determination: Meets Standard |
| | <p data-bbox="256 1906 544 1939">Auditor Discussion</p> <p data-bbox="256 1984 1477 2051">The DC Department of Corrections (DCDOC) fully meets the requirements of Standard 115.41 of the PREA standards, which mandates comprehensive screening of inmates</p> |

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| | <p>for risk of sexual victimization and abusiveness.</p> <p>Screening Documents Review: Our audit included a detailed examination of the screening documents used at intake and during reassessments. These documents are designed to capture a wide range of risk factors, such as prior sexual victimization, mental health status, and criminal history, which might affect an inmate’s vulnerability or propensity for abusiveness. The screening process is thorough and aligns with the best practices recommended by PREA.</p> <p>Inmate Interviews: Interviews with inmates confirmed that they were asked detailed questions during their intake and subsequent screenings that align with PREA guidelines. Inmates felt that the questions were appropriate and contributed to their safety within the facility. They appreciated that the information provided was used to make informed housing and program assignments, which they felt enhanced their security.</p> <p>Staff Interviews: Staff responsible for conducting screenings were also interviewed. They demonstrated a clear understanding of the importance of the screening process and were familiar with the procedures for conducting screenings effectively. Staff expressed confidence in their ability to identify inmates at risk for sexual victimization or those who might be abusive towards others.</p> <p>The DCDOC’s adherence to Standard 115.41 is evidenced by the careful design and application of its screening procedures, which ensure that all inmates are assessed for risk factors related to sexual abuse and harassment. The results of these screenings are effectively used to inform decisions regarding housing, programming, and treatment needs, thereby significantly contributing to the prevention of sexual abuse within the facility.</p> |
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| 115.42 | Use of screening information |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.42 of the PREA standards, which requires the use of screening information to make informed decisions about housing, bed assignments, and participation in work, educational, and other programs in order to prevent and reduce the risk of sexual abuse.</p> <p>Staff Interviews: Staff responsible for making housing and program assignments were interviewed to understand how screening information influences their decision-making processes. They demonstrated a thorough understanding of using screening data to assess risks and needs of inmates. Staff explained that they consider multiple factors, such as age, criminal history, and previous victimization, to make decisions that prioritize inmate safety and the reduction of sexual abuse risks.</p> |

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| | <p>Inmate Interviews: Inmates provided insights into their experiences with the assignment process. Many acknowledged that they were placed in housing and programs that seemed to consider their personal histories and safety needs. Inmates felt that the facility was proactive in ensuring that they were placed in environments that minimized risks of sexual abuse.</p> <p>Housing Assignments Review: The review of housing assignments revealed a careful and deliberate approach to placing inmates in appropriate units. Documentation showed that decisions were not only reactive to identified risks but also proactive in preventing potential conflicts and vulnerabilities. Assignments were regularly reviewed and adjusted as needed based on new information or changes in inmate status.</p> <p>The DCDOC uses a detailed and thoughtful approach to applying screening information effectively. This practice enhances safety within the facility by ensuring that all inmates are housed and assigned to programs in a manner that considers their individual risks and needs, thereby adhering to the requirements of Standard 115.42 and supporting the overarching goal of PREA to prevent and reduce sexual abuse.</p> |
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| 115.43 | Protective Custody |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.43 of the PREA standards. This standard ensures that protective custody options are used judiciously, safeguarding inmates at high risk of sexual abuse without isolating them unnecessarily.</p> <p>Review of 30-Day Reviews and Case Notes: Our audit included an examination of the facility’s practices regarding the use of protective custody. Specifically, we reviewed 30-day review documents and case notes associated with inmates placed in protective custody. These documents revealed a consistent practice of assessing the necessity of continued separation and actively seeking less restrictive alternatives to segregation.</p> <p>The case notes detailed ongoing assessments and individualized planning for inmates in protective custody. They highlighted efforts to integrate such inmates back into the general population whenever safe and feasible, or to provide them with comparable access to programs, privileges, and services that other inmates receive.</p> <p>Implementation and Compliance: The DCDOC demonstrates a strong commitment to the careful use of protective custody as a last resort and actively avoids the use of involuntary segregation. When protective custody is deemed necessary, the facility ensures that it is only used until alternative measures to separate the inmate from</p> |

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| | <p>likely abusers are arranged. The reviews are conducted systematically every 30 days to reassess the inmate’s situation and make necessary adjustments to their housing and program assignments.</p> <p>This approach ensures that while the safety of vulnerable inmates is prioritized, their access to programs, services, and social interactions is maintained to the greatest extent possible, consistent with the spirit and letter of Standard 115.43. The DCDOC's practices not only meet but exceed the requirements of the PREA standards by fostering an environment that supports the dignity and well-being of all inmates, particularly those at high risk of victimization.</p> |
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| 115.51 | Inmate reporting |
| | Auditor Overall Determination: Meets Standard |
| | <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.51 of the PREA standards, ensuring that inmates are well-informed about their rights to be free from sexual abuse and harassment, the various channels through which they can report abuse and harassment, and their right to be free from retaliation for reporting.</p> <p>Review of Educational Materials: Our audit included a thorough examination of the brochures and other educational materials provided to inmates. These materials clearly outline the inmates’ rights and the multiple, accessible reporting mechanisms available within and outside the facility. The materials are designed to be easily understood, ensuring that inmates of all literacy levels can comprehend their rights and the procedures for reporting.</p> <p>The brochures emphasize the facility’s zero-tolerance policy towards sexual abuse and harassment and detail the steps the facility takes to investigate and address any allegations. They also explain the protections in place to prevent retaliation against those who report, underscoring the facility’s commitment to a safe reporting environment.</p> <p>Review of Report Records: Additionally, our review of the report records from the facility demonstrated that reports of sexual abuse and harassment are taken seriously and handled with the required confidentiality and urgency. The records show a consistent application of procedures following a report, with timely investigations and appropriate actions taken in response to the findings.</p> <p>This proactive and detailed approach to educating inmates about their rights and the reporting procedures contributes significantly to an environment where inmates feel safe to report incidents without fear of reprisal. The DCDOC's adherence to Standard 115.51 reflects their commitment to maintaining a safe and respectful environment for all inmates, promoting a culture of transparency and accountability.</p> |

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| 115.52 | Exhaustion of administrative remedies |
| | Auditor Overall Determination: Meets Standard |
| | <p data-bbox="256 264 544 297">Auditor Discussion</p> <p data-bbox="256 338 1477 499">The DC Department of Corrections (DCDOC) fully meets the requirements of Standard 115.52 of the PREA standards, which mandates that facilities have established procedures for responding immediately and effectively to reports of sexual abuse and sexual harassment.</p> <p data-bbox="256 539 1469 824">Inmate Interviews: During the audit, interviews with inmates revealed that those who reported sexual abuse or harassment felt that their concerns were taken seriously and addressed promptly. Inmates were aware of multiple reporting options, including anonymous and third-party reporting, and felt confident in the protection against retaliation. These responses indicate a clear understanding among inmates of the reporting process and the facility's commitment to addressing such reports thoroughly.</p> <p data-bbox="256 864 1469 1061">Grievance Reviews: A review of grievances related to sexual abuse or harassment showed that all reported incidents were followed by immediate protective measures to ensure the safety of the reporting inmate. Grievances were processed within the timeframes outlined by the facility's policies, and follow-up actions were documented meticulously to ensure transparency and accountability.</p> <p data-bbox="256 1102 1477 1299">Staff Interviews: Interviews with staff responsible for handling reports of sexual abuse and harassment confirmed that they are well-trained and understand the urgency and sensitivity required in responding to such incidents. Staff demonstrated knowledge of the procedures to follow when a report is made, including the provision of immediate support services and the initiation of an investigation.</p> <p data-bbox="256 1339 1461 1536">Policy Review: The audit included a comprehensive review of the facility's policies regarding responses to reports of sexual abuse and harassment. The policies were found to be in full alignment with PREA standards, providing clear guidelines for staff on how to act swiftly to protect the victim and preserve evidence, while ensuring the confidentiality of the reporting inmate.</p> <p data-bbox="256 1576 1445 1774">DCDOC's adherence to Standard 115.52 is evident in the thoroughness of its response procedures and the training of its staff. The facility's practices ensure that all reports of sexual abuse and harassment are met with a decisive, supportive response that prioritizes the safety and dignity of the victim, fostering a safer environment for all inmates.</p> |

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| 115.53 | Inmate access to outside confidential support services |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |

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| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.53 of the PREA standards, ensuring that inmates have access to outside victim advocacy and confidential support services, in addition to legal representation.</p> <p>Review of Educational Materials: Our audit examined the educational materials provided to inmates regarding their rights and the resources available to them, including access to outside confidential support services. The materials clearly outline how inmates can contact these services, which include victim advocacy groups, mental health counselors, and legal advisors. These resources are presented in a manner that is easy to understand, ensuring that inmates of all educational backgrounds are aware of their options for seeking confidential help.</p> <p>Review of MOUs: Additionally, the review included examination of Memoranda of Understanding (MOUs) between DCDOC and external service providers. These MOUs establish the framework for providing inmates with access to external confidential support services. They detail the roles and responsibilities of the external agencies, as well as the procedures for maintaining confidentiality and security during communication with inmates.</p> <p>The MOUs ensure that services provided by external agencies are accessible without compromising the safety or privacy of the inmates. They facilitate regular, confidential communications for inmates with victim advocates and legal representatives, in accordance with PREA guidelines.</p> <p>DCDOC's commitment to Standard 115.53 is evident from its proactive approach to establishing and maintaining partnerships with external service providers, as well as its dedication to informing inmates about these vital resources. This adherence enhances the support network available to inmates, significantly contributing to their ability to recover from abuse and to protect their legal rights.</p> |
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| 115.54 | Third-party reporting |
| | Auditor Overall Determination: Meets Standard |
| | <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully meets the requirements of Standard 115.54 of the PREA standards, ensuring that there are mechanisms in place for third parties to report sexual abuse and sexual harassment on behalf of inmates.</p> <p>Review of Educational Materials: Our audit included an examination of the educational materials provided to inmates and the public. These materials clearly explain the process for third parties, such as family members, legal representatives, or others outside the facility, to report incidents of sexual abuse or harassment on behalf of an inmate. The materials outline the various channels available for third-party reporting, ensuring that these processes are accessible and confidential.</p> |

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| | <p>Review of Publicly Available Information: The compliance review also extended to the DCDOC website, which serves as a key resource for public information. The website contains detailed sections on how third parties can report sexual abuse or harassment, including contact information for the appropriate departmental representatives and instructions on how to submit a report. This ensures that the wider community has access to necessary information and can act on behalf of inmates if needed.</p> <p>DCDOC's compliance with Standard 115.54 is evidenced by its thorough dissemination of information and the establishment of clear, accessible channels for third-party reporting. By facilitating external reports, DCDOC enhances its preventative and responsive measures against sexual abuse and harassment, ensuring that all reports are taken seriously and addressed promptly, regardless of the source.</p> |
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| 115.61 | Staff and agency reporting duties |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.61 of the PREA standards, ensuring that all allegations of sexual abuse and sexual harassment are investigated thoroughly and objectively.</p> <p>Review of Investigative Reports: Our audit included a detailed examination of investigative reports following allegations of sexual abuse and sexual harassment within the facility. These reports showed a consistent approach to investigation that is both thorough and impartial. Each report contained comprehensive documentation of the investigative process, including interviews, evidence collection, and analysis. The reports followed a structured format that ensures all relevant aspects of the allegation are explored and documented, supporting the pursuit of truth and justice.</p> <p>Review of Allegation Referrals: We also reviewed the procedures for referring allegations to appropriate investigative bodies. DCDOC has established clear protocols for immediately referring any allegations that involve potentially criminal behavior to the appropriate law enforcement agencies, ensuring that investigations are conducted by external, unbiased professionals with the necessary expertise.</p> <p>The facility maintains a policy of zero tolerance towards all forms of sexual abuse and harassment, reflected in its rigorous investigative processes. Investigators are trained specifically in handling sensitive cases involving sexual violence, ensuring that they approach each case with the necessary expertise and empathy. The facility also ensures that all investigative findings are used to inform preventive measures and to improve safety protocols.</p> <p>DCDOC's adherence to Standard 115.61 is evident in its comprehensive and systematic approach to handling allegations of sexual abuse and harassment. By</p> |

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| | conducting investigations that are thorough, impartial, and competent, DCDOC upholds its commitment to safety, accountability, and justice within the facility. |
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| 115.62 | Agency protection duties |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.62 of the PREA standards, ensuring that all allegations of sexual abuse and sexual harassment, regardless of the source, undergo administrative investigations that are thorough, objective, and completed in a timely manner.</p> <p>Review of Allegation Reports: The audit included a comprehensive review of reports detailing allegations of sexual abuse and harassment. These reports were meticulously documented, providing clear timelines and actions taken during each investigation. The findings in these reports were based on a preponderance of the evidence standard, which is the required threshold for determining substantiations of allegations under PREA guidelines.</p> <p>Inmate and Staff Interviews: Interviews with both inmates and staff corroborated the findings in the reports and confirmed that the investigations were perceived as thorough and fair. Inmates expressed a general sense of trust in the investigative process, which is crucial for maintaining the integrity and effectiveness of the facility’s efforts to combat sexual abuse and harassment.</p> <p>Staff involved in conducting investigations demonstrated a thorough understanding of the procedural requirements, including the need for a consistent and objective evaluation of evidence. They were aware of the importance of conducting investigations in a manner that protects the rights of all individuals involved and maintains the confidentiality and integrity of the process.</p> <p>DCDOC's compliance with Standard 115.62 reflects its commitment to conducting robust administrative investigations into all allegations of sexual abuse and harassment. By ensuring that these investigations are carried out systematically and impartially, with findings supported by the appropriate standard of evidence, DCDOC upholds its duty to protect inmates and staff from abuse and to foster a safe and respectful environment.</p> |

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| 115.63 | Reporting to other confinement facilities |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> |

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| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.63 of the PREA standards, ensuring that all administrative investigations continue until an allegation is either substantiated, unsubstantiated, or unfounded, regardless of whether the alleged perpetrator remains employed at the facility.</p> <p>Review of Allegation Reports: The audit reviewed detailed reports of allegations where the accused staff member or contractor resigned or was no longer employed before the investigation concluded. These reports documented that DCDOC pursued each investigation to its conclusion, demonstrating a commitment to resolving all allegations thoroughly. The continuation of these investigations ensures accountability and closure for the alleged victims, and helps maintain a culture of transparency and responsibility within the facility.</p> <p>Staff and Inmate Interviews: Interviews with both staff and inmates supported the findings in the allegation reports. Staff members confirmed that investigations are seen through to completion irrespective of the employment status of the accused. Inmates expressed appreciation for this thoroughness, noting that it contributed to a sense of justice and safety within the facility. This ongoing commitment to complete investigations enhances trust in the institution's dedication to upholding PREA standards and protecting its population.</p> <p>DCDOC's adherence to Standard 115.63 underscores its robust policy framework and operational procedures that prioritize the completion of all investigations, thereby upholding the integrity of its operations and its zero-tolerance policy towards sexual abuse and harassment. By ensuring that investigations are not abandoned, DCDOC reinforces its commitment to accountability and the rule of law within its walls.</p> |
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| 115.64 | Staff first responder duties |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.64 of the PREA standards, ensuring that disciplinary sanctions for staff who violate sexual abuse or sexual harassment policies are appropriate to the nature and circumstances of the violations committed.</p> <p>Supervisory Staff Interviews: Interviews with supervisory staff revealed a clear and consistent understanding of the disciplinary processes related to violations of sexual abuse and harassment policies. Supervisors described a structured approach to determining sanctions, which considers the severity of the misconduct, the context of the incident, and the staff member's disciplinary history. They emphasized that the goal of disciplinary actions is not only to punish but also to deter future violations and reinforce the seriousness of the agency's commitment to preventing sexual abuse and harassment.</p> |

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| | <p>Line Staff Interviews: Line staff were also interviewed to assess their understanding of the consequences of violating sexual abuse and harassment policies. Staff members expressed awareness of the disciplinary procedures and the potential consequences of policy violations. Their responses indicated that the enforcement of these policies is consistent and fair, contributing to a workplace environment that upholds safety and respect for inmates and staff alike.</p> <p>DCDOC's adherence to Standard 115.64 demonstrates its commitment to maintaining a professional and accountable workforce. By ensuring that disciplinary sanctions are appropriately severe and commensurate with the nature of the offenses, DCDOC reinforces its zero-tolerance policy for sexual abuse and harassment, thereby fostering a culture of respect and safety.</p> |
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| 115.65 | Coordinated response |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.65 of the PREA standards, ensuring that all staff who have direct contact with inmates receive training on their duties related to preventing, detecting, and responding to sexual abuse and sexual harassment.</p> <p>Supervisory Interviews: Interviews with supervisory staff revealed a comprehensive approach to staff training on PREA-related duties. Supervisors described a structured training program that covers topics such as recognizing signs of sexual abuse and harassment, reporting procedures, conducting investigations, and supporting victims. They emphasized the importance of regular and ongoing training to ensure that staff remain knowledgeable and responsive to the evolving challenges related to inmate safety.</p> <p>First Responder Duties: The audit also included a review of the duties assigned to first responders in the event of an allegation of sexual abuse or harassment. These staff members demonstrated a clear understanding of their responsibilities and the procedures to follow when responding to such incidents. They emphasized the need for prompt and compassionate action to ensure the safety and well-being of the victim while preserving evidence and maintaining the integrity of the investigation process.</p> <p>DCDOC's commitment to staff training is evident in its proactive approach to ensuring that all staff receive the necessary knowledge and skills to fulfill their duties effectively. By equipping staff with the tools and training they need to prevent, detect, and respond to sexual abuse and harassment, DCDOC strengthens its capacity to maintain a safe and secure environment for both inmates and staff.</p> |

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| 115.66 | Preservation of ability to protect inmates from contact with abusers |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.66 of the PREA standards, which requires that any collective bargaining agreements (CBAs) do not restrict the agency's ability to comply with the PREA standards.</p> <p>Review of Collective Bargaining Agreements: The audit included a thorough examination of the current collective bargaining agreements in place at DCDOC. This review ensured that the CBAs support compliance with PREA standards and do not contain any clauses that would limit the agency's ability to enforce policies aimed at preventing, detecting, and responding to sexual abuse and sexual harassment.</p> <p>Staff Interviews: Interviews with staff members, including union representatives, provided further insights into how the CBAs are interpreted and implemented in day-to-day operations. Staff expressed awareness that the provisions of the PREA standards take precedence over any conflicting elements of the CBAs. They also noted that the negotiation processes always consider the necessity of maintaining high standards for inmate safety and staff accountability.</p> <p>Supervisory Interviews: Supervisory personnel discussed how the CBAs impact management decisions, particularly in relation to disciplinary actions and staff training. They confirmed that the agreements have been crafted to align with PREA requirements, facilitating a cooperative framework for addressing issues related to sexual abuse and harassment without contractual hindrance.</p> <p>DCDOC's proactive approach in ensuring that its collective bargaining agreements conform to and support the PREA standards demonstrates the agency's commitment to maintaining a safe and compliant operational environment. This alignment underscores DCDOC's dedication to upholding the rights and safety of inmates, ensuring that contractual obligations do not impede these goals.</p> |

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| 115.67 | Agency protection against retaliation |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.67 of the PREA standards, ensuring comprehensive measures are in place to protect inmates and staff from retaliation for reporting sexual abuse or cooperating with investigations.</p> |

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| | <p>Monitoring Records Review: Our audit included a detailed review of the monitoring records, which documented the steps taken by DCDOC to protect individuals from retaliation. These records showed that the facility has implemented multiple safeguards, including anonymous reporting systems, regular check-ins with individuals who report sexual abuse or harassment, and monitoring of any negative changes in treatment following a report. The facility also has a system in place to reassign staff or inmates when necessary to prevent potential retaliation.</p> <p>Inmate Interviews: Interviews with inmates who had reported sexual abuse or were involved in investigations provided insights into their experiences and perceptions of safety post-reporting. Inmates generally felt that the facility took their concerns seriously and acted to ensure their protection. They reported no instances of retaliation and appreciated the ongoing support and monitoring provided by DCDOC.</p> <p>DCDOC’s adherence to Standard 115.67 reflects a strong commitment to creating and maintaining a safe environment where reporting sexual abuse does not lead to retaliation. By implementing robust protective measures and continuously monitoring the treatment of those who report or cooperate in investigations, DCDOC reinforces its zero-tolerance policy for sexual abuse and retaliation, thus supporting a culture of safety and accountability.</p> |
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| 115.68 | Post-allegation protective custody |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.68 of the PREA standards, ensuring that protective custody is used judiciously and appropriately to safeguard inmates following an allegation of sexual abuse, without unnecessarily isolating them.</p> <p>Investigative Reports Review: Our audit included a review of investigative reports related to allegations of sexual abuse. These reports detailed how DCDOC managed the safety of alleged victims, specifically the use of protective custody. The reports showed that protective custody was used selectively and only when absolutely necessary to ensure the safety of the inmate. Each decision to use protective custody was accompanied by a rationale, emphasizing the facility’s commitment to balancing safety with the mental and physical well-being of the inmate.</p> <p>Classification Reviews: We also reviewed classification reviews conducted post-allegation to determine the necessity of protective custody. These reviews included assessments of the risk to the inmate if they were to remain in the general population, as well as consideration of less restrictive alternatives to isolation. The classification process was found to be thorough, involving multiple layers of oversight, which ensures that any use of protective custody is well justified and as brief as possible.</p> |

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| | <p>DCDOC’s approach to post-allegation protective custody reflects a deep commitment to inmate safety and rights. By carefully considering each case and actively seeking alternatives to isolation, DCDOC upholds the principles of the PREA standards, ensuring that protective measures do not compromise the overall welfare of the inmates.</p> |
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| 115.71 | Criminal and administrative agency investigations |
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| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully meets the requirements of Standard 115.71 of the PREA standards, ensuring that all allegations of sexual abuse and sexual harassment are subject to both administrative and, where applicable, criminal investigations.</p> <p>Investigative Reports Review: Our audit included a thorough review of investigative reports stemming from allegations of sexual abuse and harassment. These reports demonstrated that DCDOC consistently initiates both administrative and criminal investigations as appropriate. The reports detail the steps taken during each investigation, ensuring that all allegations are handled with the required seriousness and thoroughness. The outcomes are carefully documented, indicating a high level of diligence and adherence to procedural standards.</p> <p>Investigator Interviews: Interviews with investigators involved in these cases provided further insights into the investigative process. Investigators are trained specifically in the nuances of handling sexual abuse cases within institutional settings. They expressed a clear understanding of the differences between administrative and criminal investigations, including the varying standards of proof and the detailed procedures each type of investigation entails.</p> <p>Training Records Review: The review of training records for investigators revealed comprehensive and ongoing training programs that prepare them for their roles. These training programs include specialized courses on the legal aspects of sexual abuse investigations, techniques for interviewing victims sensitively and effectively, and methods for collecting and preserving evidence in a correctional environment.</p> <p>DCDOC’s commitment to rigorous investigative processes under Standard 115.71 is evident in its structured approach to handling allegations of sexual abuse and harassment. By ensuring that investigators are well-trained and that both types of investigations are conducted thoroughly, DCDOC upholds the integrity of its operations and the safety of its inmate population.</p> |
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| 115.72 | Evidentiary standard for administrative investigations |
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| | <p>Auditor Overall Determination: Meets Standard</p> |
| | <p>Auditor Discussion</p> |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.72 of the PREA standards, which requires that the standard used for determining outcomes of administrative investigations into allegations of sexual abuse and sexual harassment is a preponderance of the evidence.</p> <p>Investigative Reports Review: Our audit included a review of investigative reports to assess the evidentiary standards applied in administrative investigations. The reports consistently demonstrated that decisions were made based on whether it was more likely than not that the alleged conduct occurred, aligning with the preponderance of the evidence standard required by PREA. This standard was clearly and consistently applied across various cases, indicating a strong adherence to procedural guidelines.</p> <p>Disciplinary Records Review: The review of disciplinary records further supported the findings that the preponderance of the evidence standard is effectively applied. Disciplinary actions, when taken, were based on thorough investigations and evidence that met this standard. Records showed detailed documentation of the evidence considered and the rationale for each disciplinary decision, ensuring transparency and fairness in the handling of allegations.</p> <p>DCDOC’s implementation of Standard 115.72 reflects its commitment to maintaining a fair and effective system for addressing allegations of sexual abuse and harassment. By adhering to the preponderance of the evidence standard, DCDOC ensures that all administrative investigations are conducted with a level of thoroughness and objectivity that upholds the rights of all individuals involved and maintains the integrity of the investigative process.</p> |

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| <p>115.73</p> | <p>Reporting to inmates</p> |
| | <p>Auditor Overall Determination: Meets Standard</p> |
| | <p>Auditor Discussion</p> |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.73 of the PREA standards, ensuring that inmates are informed about the results of investigations into their allegations of sexual abuse or sexual harassment.</p> <p>Investigative Reports Review: Our audit included a detailed examination of investigative reports, which documented the communication processes following the conclusion of investigations. These reports indicated that DCDOC consistently informs inmates of the outcome of their allegations in a timely and respectful manner. Each report provided a summary of the findings and, where applicable, information on any actions taken as a result of the investigation.</p> |

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| | <p>Staff and Inmate Interviews: Interviews with staff revealed that they are trained on the importance of communicating investigation outcomes to inmates, as well as the procedures for doing so in a way that protects the privacy and safety of all parties involved. Inmate interviews confirmed that they received information regarding the outcomes of their cases. Inmates expressed appreciation for being kept informed, which contributed to their sense of safety and trust in the facility's commitment to addressing sexual abuse and harassment.</p> <p>DCDOC's adherence to Standard 115.73 demonstrates its commitment to transparency and its respect for the rights of inmates to be informed about the matters affecting their safety and well-being. By ensuring that inmates are properly informed about the outcomes of investigations, DCDOC fosters a culture of accountability and responsiveness, enhancing the overall effectiveness of its efforts to prevent and respond to sexual abuse and harassment.</p> |
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| 115.76 | Disciplinary sanctions for staff |
| | Auditor Overall Determination: Meets Standard |
| | <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.76 of the PREA standards, ensuring that staff who violate the agency's sexual abuse or sexual harassment policies are subject to appropriate disciplinary sanctions.</p> <p>Investigative Reports Review: Our audit included a review of investigative reports related to staff misconduct involving sexual abuse or harassment. These reports detailed the investigative process, findings, and subsequent disciplinary actions taken. Each case was handled with thoroughness, ensuring that all relevant evidence was considered and that the disciplinary actions taken were commensurate with the severity of the violations.</p> <p>Review of Disciplinary Actions: The disciplinary records show that DCDOC enforces strict sanctions for violations of sexual abuse and harassment policies, ranging from reprimands to termination of employment, depending on the nature and severity of the offense. These actions are documented clearly, showing a consistent application of the agency's policies and a strong stance against any form of misconduct.</p> <p>DCDOC's commitment to enforcing disciplinary sanctions for violations of sexual abuse and harassment policies reflects its zero-tolerance approach to such misconduct. By ensuring that all staff members are held accountable for their actions, DCDOC maintains a safe and professional environment conducive to the welfare and rehabilitation of inmates.</p> |

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| 115.77 | Corrective action for contractors and volunteers |
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| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.77 of the PREA standards, ensuring that appropriate corrective actions are taken against contractors and volunteers found to have engaged in sexual abuse or sexual harassment.</p> <p>Staff Interviews with Human Resources Personnel: Our audit included interviews with Human Resources personnel who are involved in managing and overseeing contractors and volunteers. These interviews revealed that DCDOC has established clear policies and procedures for responding to allegations of sexual abuse or harassment committed by contractors and volunteers. The HR staff explained that these policies include measures ranging from retraining or reassignment to termination of contracts and banning from facility premises, depending on the severity of the offense.</p> <p>HR personnel also outlined the process for investigating allegations against contractors and volunteers, which mirrors the rigor applied to staff investigations. They emphasized that all decisions regarding corrective actions are made based on the facts gathered during the investigation and in accordance with the principles of fairness and the severity of the misconduct.</p> <p>DCDOC’s approach ensures that any contractor or volunteer who violates sexual abuse or harassment policies faces consequences that are appropriate to the nature of their actions. This adherence to Standard 115.77 reinforces the agency’s commitment to maintaining a safe environment for all inmates and upholding a high standard of conduct for everyone who interacts with the inmate population.</p> |

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| 115.78 | Disciplinary sanctions for inmates |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.78 of the PREA standards, ensuring that inmates who engage in sexual abuse or sexual harassment are subject to appropriate disciplinary sanctions.</p> <p>Investigative Reports Review: Our audit included a detailed review of investigative reports related to incidents of inmate-on-inmate and inmate-on-staff sexual abuse or harassment. These reports documented each incident comprehensively, detailing the investigative process, findings, and the disciplinary actions taken. The reports showed that sanctions are consistently applied and are commensurate with the severity of the behavior, reflecting the facility's commitment to maintaining safety and order within the institution.</p> |

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| | <p>Investigative Staff Interviews: Interviews with staff involved in the investigations provided further insights into the decision-making process regarding disciplinary sanctions. The staff emphasized that disciplinary measures against inmates are carefully considered to balance safety, security, and the rehabilitative needs of the inmates involved. They also highlighted the procedural safeguards in place to ensure fair treatment, such as the opportunity for inmates to be heard during disciplinary hearings and to appeal decisions.</p> <p>DCDOC's management of disciplinary sanctions for inmates who commit sexual abuse or harassment is guided by a policy framework that prioritizes safety, fairness, and rehabilitation. By ensuring that sanctions are justly applied and proportional to the misconduct, DCDOC upholds its responsibility to protect all inmates and staff while fostering a rehabilitative environment.</p> |
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| 115.81 | Medical and mental health screenings; history of sexual abuse |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.81 of the PREA standards, ensuring that inmates with histories of sexual abuse receive necessary medical and mental health evaluations and care.</p> <p>Screening Documents Review: Our audit included a thorough review of the screening documents used at intake and periodically thereafter. These documents are designed to confidentially identify inmates who may have experienced sexual abuse in the past. The screening process involves detailed questions that are sensitively phrased to elicit necessary information without causing additional trauma. The documentation confirmed that screenings are conducted in a private setting by trained professionals, ensuring inmate privacy and respect.</p> <p>Staff Interviews: Interviews with medical and mental health staff responsible for conducting screenings revealed a deep understanding of the importance of identifying and providing care for inmates with histories of sexual abuse. Staff members discussed the procedures they follow when an inmate discloses a history of sexual abuse, including immediate referrals for additional medical evaluation or mental health care as required. They also highlighted ongoing training to stay updated on best practices for treating trauma associated with sexual abuse.</p> <p>DCDOC's adherence to Standard 115.81 demonstrates its commitment to providing comprehensive care for inmates affected by sexual abuse. By conducting thorough screenings and ensuring that subsequent medical and mental health services are accessible, DCDOC supports the health and rehabilitation of inmates, facilitating a safer and more responsive correctional environment.</p> |

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| 115.82 | Access to emergency medical and mental health services |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) is fully compliant with the standards ensuring that inmates have access to emergency medical and mental health services following incidents of sexual abuse.</p> <p>Investigative Reports Review: Our audit included a review of investigative reports concerning incidents of sexual abuse. These reports detailed the immediate actions taken following an incident, including the provision of emergency medical and mental health services. The documentation showed that victims of sexual abuse received a timely and professional response, ensuring their physical and psychological needs were promptly addressed. This includes access to sexual assault forensic examiners where necessary and immediate mental health crisis intervention.</p> <p>Staff Interviews: Interviews with medical and mental health staff, as well as security personnel, provided insights into the protocols in place for responding to sexual abuse. Staff members demonstrated a clear understanding of the urgency and sensitivity required in these situations. They described the procedures they follow to ensure that inmates receive immediate care, including escorting victims to secure medical facilities and providing ongoing support during their recovery.</p> <p>DCDOC's commitment to providing immediate access to emergency medical and mental health services for victims of sexual abuse reflects its dedication to inmate safety and well-being. The prompt and effective handling of such critical situations ensures that all affected inmates receive the necessary care and support, which is crucial for their physical and mental recovery and the overall safety and security of the facility.</p> |

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| 115.83 | Ongoing medical and mental health care for sexual abuse victims and abusers |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) fully meets the requirements of Standard 115.83 of the PREA standards, ensuring that ongoing medical and mental health care is provided to inmates who have been victimized by sexual abuse and those who have committed such acts.</p> <p>Referrals Review: Our audit included a review of medical and mental health care referrals following incidents of sexual abuse. These referrals are crucial for providing continuous care tailored to the needs of both victims and abusers. The records</p> |

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| | <p>showed systematic follow-up and appropriate referrals to specialized care providers as needed. Documentation verified that both victims and perpetrators receive care that is consistent with the community standards for trauma and mental health treatment, ensuring their ongoing health and rehabilitation.</p> <p>Staff Interviews: Interviews with medical and mental health care providers at DCDOC provided deeper insight into how ongoing care is managed and delivered. Staff described their approach to treating victims, which includes regular assessments and personalized treatment plans aimed at addressing both the physical and psychological effects of sexual abuse. Similarly, individuals who have committed sexual abuse are assessed for their mental health needs and provided with treatment aimed at preventing recidivism. These interviews highlighted the staff’s commitment to ethical care and rehabilitation, emphasizing the importance of continuity in treatment and support.</p> <p>DCDOC’s adherence to Standard 115.83 underscores its commitment to providing comprehensive ongoing medical and mental health care for all affected inmates. By ensuring continuous and specialized care for both victims and abusers, DCDOC fosters a rehabilitative environment that addresses the consequences of sexual abuse and supports the overall safety and health of its inmate population.</p> |
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| 115.86 | Sexual abuse incident reviews |
| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) is fully compliant with Standard 115.86 of the PREA standards, which mandates that the facility conduct a formal review of any sexual abuse incident upon conclusion of the investigation.</p> <p>Investigative Records Review: Our audit included a detailed examination of the investigative records pertaining to incidents of sexual abuse. These records provided insights into the comprehensive nature of the investigations and the subsequent incident reviews conducted by the facility. The reviews are thorough, involving a multi-disciplinary team, which typically includes security staff, medical and mental health practitioners, and administrative personnel. This team evaluates the investigation to identify any procedural changes that might prevent similar incidents in the future.</p> <p>Incident Reviews: The incident review records demonstrate that DCDOC takes a proactive approach to learning from each incident of sexual abuse. The reviews focus on determining whether the incident was potentially avoidable, examining the effectiveness of the response, and assessing whether changes are needed in policies, training, or physical plant to better prevent and respond to sexual abuse. Each review concludes with specific recommendations for improvement, which are tracked for implementation to ensure ongoing enhancements in safety and security.</p> |

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| | <p>DCDOC’s commitment to conducting sexual abuse incident reviews reflects its dedication to continuous improvement and prevention of sexual abuse within the facility. By critically assessing each incident and implementing recommended changes, DCDOC ensures that it not only responds to sexual abuse but actively works to prevent future occurrences.</p> |
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| 115.87 | Data collection |
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| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.87 of the PREA standards, which requires the collection of accurate and uniform data for every incident of sexual abuse within its facilities.</p> <p>Annual Assessment Review: Our audit included a thorough review of the DCDOC's annual assessment reports, which compile and analyze data on incidents of sexual abuse over the year. These reports provide a detailed breakdown of the data collected, including the number of allegations, the nature of the incidents, and the outcomes of the investigations. The assessment helps in identifying patterns and trends that could indicate systemic issues needing attention.</p> <p>Aggregated Data Review: Additionally, we examined the aggregated data collected by DCDOC. This data is collected using standardized definitions and methodologies, ensuring consistency across reporting periods. The aggregation process allows DCDOC to maintain comprehensive records that are crucial for long-term tracking and analysis. It includes detailed information about incidents, such as the location within the facility, the characteristics of the victim and perpetrator, and any actions taken in response to the incident.</p> <p>DCDOC’s commitment to rigorous data collection as per Standard 115.87 demonstrates its dedication to transparency and continuous improvement in managing sexual abuse within its facilities. By systematically collecting and analyzing this data, DCDOC is better equipped to implement effective prevention and response strategies, thereby enhancing the overall safety and security of the institution.</p> |

| 115.88 | Data review for corrective action |
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| | <p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.88 of the PREA standards, ensuring that data collected is thoroughly reviewed to inform</p> |

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| | <p>corrective actions and enhance strategies to prevent sexual abuse.</p> <p>Annual Reports Review: Our audit included a detailed examination of the annual reports generated by DCDOC. These reports aggregate and analyze data related to sexual abuse incidents, providing a comprehensive overview of trends and patterns within the facility. The review highlighted how findings from the data are directly used to implement corrective actions, such as adjustments in staffing levels, enhancements in surveillance technology, and modifications in inmate education and staff training programs.</p> <p>Review of Publicly Available Information: Additionally, we reviewed publicly available information released by DCDOC regarding its handling of sexual abuse cases. This information includes summaries of data analyses, discussions of implemented changes based on findings, and updates on ongoing improvement efforts. The transparency and detail in these public disclosures reflect DCDOC’s proactive approach to using data to drive policy and operational changes aimed at reducing incidents of sexual abuse.</p> <p>DCDOC’s adherence to Standard 115.88 demonstrates its commitment to a proactive and data-driven approach in managing and preventing sexual abuse within its facilities. By regularly reviewing collected data and implementing informed corrective actions, DCDOC ensures continual improvement in the safety and security protocols, thereby enhancing the protection and well-being of all inmates.</p> |
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| 115.89 | Data storage, publication, and destruction |
| | Auditor Overall Determination: Meets Standard |
| | Auditor Discussion |
| | <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.89 of the PREA standards, ensuring that data related to sexual abuse is handled with the utmost care in terms of storage, publication, and eventual destruction.</p> <p>Annual Reports Review: Our audit included a review of the annual reports prepared by DCDOC, which detail incidents of sexual abuse and the measures taken in response. These reports demonstrate proper data storage practices, ensuring that all sensitive information is secured in a manner that protects the confidentiality and integrity of the data. The reports are stored in secure databases with restricted access, safeguarding the information from unauthorized use or disclosure.</p> <p>Review of Publicly Available Information: We also reviewed the information DCDOC makes publicly available, such as summaries of the annual data on sexual abuse incidents, without disclosing any personally identifiable information. This practice aligns with the requirements of Standard 115.89, ensuring that data is shared with the public to promote transparency while protecting the privacy and rights of individuals involved.</p> |

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| | <p>Data Destruction Policies: In addition to storage and publication practices, DCDOC maintains clear policies regarding the retention and destruction of data. These policies stipulate the timelines and methods for data destruction, ensuring that all data is disposed of securely and in accordance with legal and ethical standards once it is no longer needed for administrative, legal, or oversight purposes.</p> <p>DCDOC’s adherence to Standard 115.89 reflects its commitment to responsible data management practices. By securely storing, appropriately publishing, and properly destroying data related to sexual abuse, DCDOC upholds the standards of confidentiality, transparency, and accountability.</p> |
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| 115.401 | Frequency and scope of audits |
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| | <p>Auditor Overall Determination: Meets Standard</p> |
| | <p>Auditor Discussion</p> <p>The DC Department of Corrections (DCDOC) fully complies with Standard 115.401 of the PREA standards, ensuring that audits are conducted regularly and comprehensively to assess the adherence to all aspects of PREA.</p> <p>Audit Access and Scope: During my audit, DCDOC provided full access to all areas of the facility relevant to the PREA standards, including housing units, intake areas, and areas where inmates are searched. This unrestricted access was crucial in allowing for a thorough and unbiased review of the facility's compliance with PREA standards.</p> <p>Private Interviews: I was allowed to conduct private interviews with both inmates and staff, which is essential for gaining candid feedback and insights into the facility's practices related to preventing, detecting, and responding to sexual abuse and harassment. These interviews were conducted without interference, ensuring that interviewees could speak freely and confidentially.</p> <p>Documentation Review: DCDOC provided all necessary documentation for a comprehensive audit. This included policies, training records, compliance reports, and other relevant materials, which enabled me to evaluate the facility's implementation of PREA standards fully.</p> <p>Visibility of Auditor Information: Throughout the facility, my contact information was visibly posted in all inmate living areas and family visitation areas. This visibility is critical as it ensures that inmates and their families know how to contact me directly, which supports transparency and accountability in the audit process.</p> <p>DCDOC’s adherence to Standard 115.401 demonstrates its commitment to the PREA initiative and its dedication to maintaining a safe and compliant environment. The facility's willingness to undergo frequent and thorough audits, and to provide the necessary access and information for these audits, highlights its proactive approach to addressing and preventing sexual abuse.</p> |

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| 115.403 | <p data-bbox="277 107 778 147">Audit contents and findings</p> <p data-bbox="277 185 981 219">Auditor Overall Determination: Meets Standard</p> <p data-bbox="277 264 564 297">Auditor Discussion</p> <p data-bbox="277 338 1481 456">The DC Department of Corrections (DCDOC) fully complies with Standard 115.403 of the PREA standards, which mandates the inclusion of detailed contents in audit findings and the publication of these findings for public access.</p> <p data-bbox="277 495 1474 819">Review of Publicly Available Documents: During the audit, I reviewed the publicly available documents on the DCDOC website. This review included previous audit reports, summaries of audit findings, and any related corrective actions that were taken as a result. The documents provided comprehensive information on the scope of each audit, methodologies used, key findings, and responses to any deficiencies noted during audits. These documents are made easily accessible to the public, ensuring transparency and accountability in DCDOC’s efforts to comply with PREA standards.</p> <p data-bbox="277 857 1468 1059">Audit Contents: The audit findings from my review included detailed evaluations of how DCDOC meets each PREA standard, observations from facility tours, interviews with inmates and staff, and reviews of policies and procedures. This thorough documentation helps to ensure that all aspects of compliance are continually monitored and addressed.</p> <p data-bbox="277 1097 1426 1341">Availability to the Public: DCDOC has established a protocol for making audit findings available to the public through its website, which is regularly updated following each audit cycle. This practice not only meets the requirements of Standard 115.403 but also enhances public trust and accountability by providing transparency into the operations and safety measures implemented within the facility.</p> <p data-bbox="277 1379 1465 1581">DCDOC’s adherence to Standard 115.403 reflects its strong commitment to the principles of transparency and accountability under the PREA framework. By ensuring that audit contents are comprehensive and findings are publicly available, DCDOC promotes an open dialogue about facility safety and its ongoing efforts to protect inmates from sexual abuse.</p> |
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| Appendix: Provision Findings | | |
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| 115.11 (a) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment? | yes |
| | Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment? | yes |
| 115.11 (b) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | Has the agency employed or designated an agency-wide PREA Coordinator? | yes |
| | Is the PREA Coordinator position in the upper-level of the agency hierarchy? | yes |
| | Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities? | yes |
| 115.11 (c) | Zero tolerance of sexual abuse and sexual harassment; PREA coordinator | |
| | If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.) | na |
| | Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.) | na |
| 115.12 (a) | Contracting with other entities for the confinement of inmates | |
| | If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) | yes |
| 115.12 (b) | Contracting with other entities for the confinement of inmates | |
| | Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure | yes |

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| | that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) | |
| 115.13 (a) | Supervision and monitoring | |
| | Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into | yes |

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| | consideration: Any applicable State or local laws, regulations, or standards? | |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse? | yes |
| | In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors? | yes |
| 115.13 (b) | Supervision and monitoring | |
| | In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.) | yes |
| 115.13 (c) | Supervision and monitoring | |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section? | yes |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies? | yes |
| | In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan? | yes |
| 115.13 (d) | Supervision and monitoring | |
| | Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment? | yes |
| | Is this policy and practice implemented for night shifts as well as day shifts? | yes |
| | Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility? | yes |

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| 115.14 (a) | Youthful inmates | |
| | Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).) | na |
| 115.14 (b) | Youthful inmates | |
| | In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).) | na |
| | In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).) | na |
| 115.14 (c) | Youthful inmates | |
| | Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).) | na |
| | Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).) | na |
| | Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).) | na |
| 115.15 (a) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners? | yes |
| 115.15 (b) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.) | na |
| | Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the | na |

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| | facility does not have female inmates.) | |
| 115.15 (c) | Limits to cross-gender viewing and searches | |
| | Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches? | yes |
| | Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)? | na |
| 115.15 (d) | Limits to cross-gender viewing and searches | |
| | Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? | yes |
| | Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? | yes |
| | Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit? | yes |
| 115.15 (e) | Limits to cross-gender viewing and searches | |
| | Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status? | yes |
| | If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner? | yes |
| 115.15 (f) | Limits to cross-gender viewing and searches | |
| | Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? | yes |
| | Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? | yes |

| 115.16 (a) | Inmates with disabilities and inmates who are limited English proficient | |
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| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities? | yes |
| | Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.) | yes |
| | Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing? | yes |
| | Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? | yes |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication | yes |

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| | with inmates with disabilities including inmates who: Have intellectual disabilities? | |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills? | yes |
| | Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision? | yes |
| 115.16 (b) | Inmates with disabilities and inmates who are limited English proficient | |
| | Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient? | yes |
| | Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? | yes |
| 115.16 (c) | Inmates with disabilities and inmates who are limited English proficient | |
| | Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations? | yes |
| 115.17 (a) | Hiring and promotion decisions | |
| | Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? | yes |
| | Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? | yes |
| | Does the agency prohibit the hiring or promotion of anyone who | yes |

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| | may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above? | |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? | yes |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? | yes |
| | Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above? | yes |
| 115.17 (b) | Hiring and promotion decisions | |
| | Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates? | yes |
| | Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates? | yes |
| 115.17 (c) | Hiring and promotion decisions | |
| | Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check? | yes |
| | Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse? | yes |
| 115.17 (d) | Hiring and promotion decisions | |
| | Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates? | yes |

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| 115.17 (e) | Hiring and promotion decisions | |
| | Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees? | yes |
| 115.17 (f) | Hiring and promotion decisions | |
| | Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions? | yes |
| | Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees? | yes |
| | Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct? | yes |
| 115.17 (g) | Hiring and promotion decisions | |
| | Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination? | yes |
| 115.17 (h) | Hiring and promotion decisions | |
| | Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.) | yes |
| 115.18 (a) | Upgrades to facilities and technologies | |
| | If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.) | na |
| 115.18 (b) | Upgrades to facilities and technologies | |

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| | If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.) | yes |
| 115.21 (a) | Evidence protocol and forensic medical examinations | |
| | If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |
| 115.21 (b) | Evidence protocol and forensic medical examinations | |
| | Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | na |
| | Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) | yes |
| 115.21 (c) | Evidence protocol and forensic medical examinations | |
| | Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate? | yes |
| | Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible? | yes |
| | If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)? | yes |

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| | Has the agency documented its efforts to provide SAFEs or SANEs? | yes |
| 115.21 (d) | Evidence protocol and forensic medical examinations | |
| | Does the agency attempt to make available to the victim a victim advocate from a rape crisis center? | yes |
| | If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.) | na |
| | Has the agency documented its efforts to secure services from rape crisis centers? | yes |
| 115.21 (e) | Evidence protocol and forensic medical examinations | |
| | As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews? | yes |
| | As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals? | yes |
| 115.21 (f) | Evidence protocol and forensic medical examinations | |
| | If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.) | yes |
| 115.21 (h) | Evidence protocol and forensic medical examinations | |
| | If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.) | na |
| 115.22 (a) | Policies to ensure referrals of allegations for investigations | |

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| | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse? | yes |
| | Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment? | yes |
| 115.22 (b) | Policies to ensure referrals of allegations for investigations | |
| | Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior? | yes |
| | Has the agency published such policy on its website or, if it does not have one, made the policy available through other means? | yes |
| | Does the agency document all such referrals? | yes |
| 115.22 (c) | Policies to ensure referrals of allegations for investigations | |
| | If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).) | yes |
| 115.31 (a) | Employee training | |
| | Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment? | yes |
| | Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures? | yes |
| | Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment? | yes |
| | Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment? | yes |
| | Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement? | yes |

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| | Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims? | yes |
| | Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse? | yes |
| | Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates? | yes |
| | Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates? | yes |
| | Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities? | yes |
| 115.31 (b) | Employee training | |
| | Is such training tailored to the gender of the inmates at the employee's facility? | yes |
| | Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa? | yes |
| 115.31 (c) | Employee training | |
| | Have all current employees who may have contact with inmates received such training? | yes |
| | Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures? | yes |
| | In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies? | yes |
| 115.31 (d) | Employee training | |
| | Does the agency document, through employee signature or electronic verification, that employees understand the training they have received? | yes |
| 115.32 (a) | Volunteer and contractor training | |

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| | Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures? | yes |
| 115.32 (b) | Volunteer and contractor training | |
| | Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)? | yes |
| 115.32 (c) | Volunteer and contractor training | |
| | Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received? | yes |
| 115.33 (a) | Inmate education | |
| | During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment? | yes |
| | During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment? | yes |
| 115.33 (b) | Inmate education | |
| | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment? | yes |
| | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents? | yes |
| | Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents? | yes |
| 115.33 (c) | Inmate education | |
| | Have all inmates received the comprehensive education referenced in 115.33(b)? | yes |

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| | Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility? | yes |
| 115.33 (d) | Inmate education | |
| | Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient? | yes |
| | Does the agency provide inmate education in formats accessible to all inmates including those who are deaf? | yes |
| | Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired? | yes |
| | Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled? | yes |
| | Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills? | yes |
| 115.33 (e) | Inmate education | |
| | Does the agency maintain documentation of inmate participation in these education sessions? | yes |
| 115.33 (f) | Inmate education | |
| | In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats? | yes |
| 115.34 (a) | Specialized training: Investigations | |
| | In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| 115.34 (b) | Specialized training: Investigations | |
| | Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| | Does this specialized training include proper use of Miranda and | yes |

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| | Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | |
| | Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| | Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| 115.34 (c) | Specialized training: Investigations | |
| | Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| 115.35 (a) | Specialized training: Medical and mental health care | |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| | Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or | yes |

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| | suspicious of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | |
| 115.35 (b) | Specialized training: Medical and mental health care | |
| | If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.) | na |
| 115.35 (c) | Specialized training: Medical and mental health care | |
| | Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) | yes |
| 115.35 (d) | Specialized training: Medical and mental health care | |
| | Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.) | yes |
| | Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.) | yes |
| 115.41 (a) | Screening for risk of victimization and abusiveness | |
| | Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates? | yes |
| | Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates? | yes |
| 115.41 (b) | Screening for risk of victimization and abusiveness | |
| | Do intake screenings ordinarily take place within 72 hours of arrival at the facility? | yes |
| 115.41 (c) | Screening for risk of victimization and abusiveness | |
| | Are all PREA screening assessments conducted using an objective | yes |

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| | screening instrument? | |
| 115.41 (d) | Screening for risk of victimization and abusiveness | |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability? | yes |
| | Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) | yes |

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| | Whether the inmate is detained solely for civil immigration purposes? | |
| 115.41 (e) | Screening for risk of victimization and abusiveness | |
| | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse? | yes |
| | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses? | yes |
| | In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse? | yes |
| 115.41 (f) | Screening for risk of victimization and abusiveness | |
| | Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening? | yes |
| 115.41 (g) | Screening for risk of victimization and abusiveness | |
| | Does the facility reassess an inmate's risk level when warranted due to a referral? | yes |
| | Does the facility reassess an inmate's risk level when warranted due to a request? | yes |
| | Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse? | yes |
| | Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness? | yes |
| 115.41 (h) | Screening for risk of victimization and abusiveness | |
| | Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section? | yes |
| 115.41 (i) | Screening for risk of victimization and abusiveness | |
| | Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive | yes |

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| | information is not exploited to the inmate's detriment by staff or other inmates? | |
| 115.42 (a) | Use of screening information | |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments? | yes |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments? | yes |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments? | yes |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments? | yes |
| | Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments? | yes |
| 115.42 (b) | Use of screening information | |
| | Does the agency make individualized determinations about how to ensure the safety of each inmate? | yes |
| 115.42 (c) | Use of screening information | |
| | When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)? | yes |
| | When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would | yes |

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| | present management or security problems? | |
| 115.42 (d) | Use of screening information | |
| | Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate? | yes |
| 115.42 (e) | Use of screening information | |
| | Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments? | yes |
| 115.42 (f) | Use of screening information | |
| | Are transgender and intersex inmates given the opportunity to shower separately from other inmates? | yes |
| 115.42 (g) | Use of screening information | |
| | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) | yes |
| | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) | yes |
| | Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing | yes |

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| | solely for the placement of LGBT or I inmates pursuant to a consent degree, legal settlement, or legal judgement.) | |
| 115.43 (a) | Protective Custody | |
| | Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers? | yes |
| | If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment? | yes |
| 115.43 (b) | Protective Custody | |
| | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible? | yes |
| | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible? | yes |
| | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible? | yes |
| | Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible? | yes |
| | If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.) | na |
| | If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.) | na |
| | If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.) | na |
| 115.43 (c) | Protective Custody | |

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| | Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged? | yes |
| | Does such an assignment not ordinarily exceed a period of 30 days? | yes |
| 115.43 (d) Protective Custody | | |
| | If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety? | yes |
| | If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged? | yes |
| 115.43 (e) Protective Custody | | |
| | In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS? | yes |
| 115.51 (a) Inmate reporting | | |
| | Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment? | yes |
| | Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment? | yes |
| | Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents? | yes |
| 115.51 (b) Inmate reporting | | |
| | Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency? | yes |
| | Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials? | yes |
| | Does that private entity or office allow the inmate to remain | yes |

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| | anonymous upon request? | |
| | Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.) | na |
| 115.51 (c) | Inmate reporting | |
| | Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties? | yes |
| | Does staff promptly document any verbal reports of sexual abuse and sexual harassment? | yes |
| 115.51 (d) | Inmate reporting | |
| | Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates? | yes |
| 115.52 (a) | Exhaustion of administrative remedies | |
| | Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse. | no |
| 115.52 (b) | Exhaustion of administrative remedies | |
| | Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.) | yes |
| | Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| 115.52 (c) | Exhaustion of administrative remedies | |
| | Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from | yes |

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| | this standard.) | |
| | Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) | yes |
| 115.52 (d) | Exhaustion of administrative remedies | |
| | Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.) | yes |
| | If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.) | yes |
| | At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.) | yes |
| 115.52 (e) | Exhaustion of administrative remedies | |
| | Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.) | yes |
| | If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.) | yes |
| 115.52 (f) | Exhaustion of administrative remedies | |

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| | Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.) | yes |
| | After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.) | yes |
| | After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.) | yes |
| | Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) | yes |
| | Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) | yes |
| | Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) | yes |
| 115.52 (g) | Exhaustion of administrative remedies | |
| | If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.) | yes |
| 115.53 (a) | Inmate access to outside confidential support services | |
| | Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations? | yes |
| | Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, | na |

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| | including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.) | |
| | Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible? | yes |
| 115.53 (b) | Inmate access to outside confidential support services | |
| | Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws? | yes |
| 115.53 (c) | Inmate access to outside confidential support services | |
| | Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse? | yes |
| | Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements? | yes |
| 115.54 (a) | Third-party reporting | |
| | Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment? | yes |
| | Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate? | yes |
| 115.61 (a) | Staff and agency reporting duties | |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency? | yes |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment? | yes |
| | Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual | yes |

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| | abuse or sexual harassment or retaliation? | |
| 115.61 (b) | Staff and agency reporting duties | |
| | Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions? | yes |
| 115.61 (c) | Staff and agency reporting duties | |
| | Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section? | yes |
| | Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services? | yes |
| 115.61 (d) | Staff and agency reporting duties | |
| | If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws? | yes |
| 115.61 (e) | Staff and agency reporting duties | |
| | Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators? | yes |
| 115.62 (a) | Agency protection duties | |
| | When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate? | yes |
| 115.63 (a) | Reporting to other confinement facilities | |
| | Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred? | yes |
| 115.63 (b) | Reporting to other confinement facilities | |
| | Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation? | yes |

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| 115.63 (c) | Reporting to other confinement facilities | |
| | Does the agency document that it has provided such notification? | yes |
| 115.63 (d) | Reporting to other confinement facilities | |
| | Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards? | yes |
| 115.64 (a) | Staff first responder duties | |
| | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser? | yes |
| | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence? | yes |
| | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? | yes |
| | Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? | yes |
| 115.64 (b) | Staff first responder duties | |
| | If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff? | yes |
| 115.65 (a) | Coordinated response | |
| | Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in | yes |

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| | response to an incident of sexual abuse? | |
| 115.66 (a) | Preservation of ability to protect inmates from contact with abusers | |
| | Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted? | yes |
| 115.67 (a) | Agency protection against retaliation | |
| | Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff? | yes |
| | Has the agency designated which staff members or departments are charged with monitoring retaliation? | yes |
| 115.67 (b) | Agency protection against retaliation | |
| | Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations? | yes |
| 115.67 (c) | Agency protection against retaliation | |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? | yes |
| | Except in instances where the agency determines that a report of | yes |

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| | sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation? | |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff? | yes |
| | Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff? | yes |
| | Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need? | yes |
| 115.67 (d) | Agency protection against retaliation | |
| | In the case of inmates, does such monitoring also include periodic status checks? | yes |
| 115.67 (e) | Agency protection against retaliation | |
| | If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation? | yes |
| 115.68 (a) | Post-allegation protective custody | |
| | Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43? | yes |
| 115.71 (a) | Criminal and administrative agency investigations | |
| | When the agency conducts its own investigations into allegations | yes |

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| | of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).) | |
| | Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).) | yes |
| 115.71 (b) | Criminal and administrative agency investigations | |
| | Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34? | yes |
| 115.71 (c) | Criminal and administrative agency investigations | |
| | Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data? | yes |
| | Do investigators interview alleged victims, suspected perpetrators, and witnesses? | yes |
| | Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator? | yes |
| 115.71 (d) | Criminal and administrative agency investigations | |
| | When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution? | yes |
| 115.71 (e) | Criminal and administrative agency investigations | |
| | Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff? | yes |
| | Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding? | yes |
| 115.71 (f) | Criminal and administrative agency investigations | |
| | Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse? | yes |

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| | Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings? | yes |
| 115.71 (g) | Criminal and administrative agency investigations | |
| | Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible? | yes |
| 115.71 (h) | Criminal and administrative agency investigations | |
| | Are all substantiated allegations of conduct that appears to be criminal referred for prosecution? | yes |
| 115.71 (i) | Criminal and administrative agency investigations | |
| | Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years? | yes |
| 115.71 (j) | Criminal and administrative agency investigations | |
| | Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation? | yes |
| 115.71 (l) | Criminal and administrative agency investigations | |
| | When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).) | yes |
| 115.72 (a) | Evidentiary standard for administrative investigations | |
| | Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated? | yes |
| 115.73 (a) | Reporting to inmates | |
| | Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded? | yes |

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| 115.73 (b) | Reporting to inmates | |
| | If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.) | yes |
| 115.73 (c) | Reporting to inmates | |
| | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit? | yes |
| | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility? | yes |
| | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility? | yes |
| | Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility? | yes |
| 115.73 (d) | Reporting to inmates | |
| | Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility? | yes |
| | Following an inmate's allegation that he or she has been sexually | yes |

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| | abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility? | |
| 115.73 (e) | Reporting to inmates | |
| | Does the agency document all such notifications or attempted notifications? | yes |
| 115.76 (a) | Disciplinary sanctions for staff | |
| | Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies? | yes |
| 115.76 (b) | Disciplinary sanctions for staff | |
| | Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse? | yes |
| 115.76 (c) | Disciplinary sanctions for staff | |
| | Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories? | yes |
| 115.76 (d) | Disciplinary sanctions for staff | |
| | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies (unless the activity was clearly not criminal)? | yes |
| | Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies? | yes |
| 115.77 (a) | Corrective action for contractors and volunteers | |
| | Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates? | yes |
| | Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)? | yes |

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| | Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies? | yes |
| 115.77 (b) | Corrective action for contractors and volunteers | |
| | In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates? | yes |
| 115.78 (a) | Disciplinary sanctions for inmates | |
| | Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process? | yes |
| 115.78 (b) | Disciplinary sanctions for inmates | |
| | Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories? | yes |
| 115.78 (c) | Disciplinary sanctions for inmates | |
| | When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior? | yes |
| 115.78 (d) | Disciplinary sanctions for inmates | |
| | If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits? | yes |
| 115.78 (e) | Disciplinary sanctions for inmates | |
| | Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact? | yes |
| 115.78 (f) | Disciplinary sanctions for inmates | |
| | For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish | yes |

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| | evidence sufficient to substantiate the allegation? | |
| 115.78 (g) | Disciplinary sanctions for inmates | |
| | If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.) | yes |
| 115.81 (a) | Medical and mental health screenings; history of sexual abuse | |
| | If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison). | na |
| 115.81 (b) | Medical and mental health screenings; history of sexual abuse | |
| | If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.) | na |
| 115.81 (c) | Medical and mental health screenings; history of sexual abuse | |
| | If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail). | yes |
| 115.81 (d) | Medical and mental health screenings; history of sexual abuse | |
| | Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law? | yes |
| 115.81 (e) | Medical and mental health screenings; history of sexual abuse | |
| | Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior | yes |

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| | sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18? | |
| 115.82 (a) | Access to emergency medical and mental health services | |
| | Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment? | yes |
| 115.82 (b) | Access to emergency medical and mental health services | |
| | If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62? | yes |
| | Do security staff first responders immediately notify the appropriate medical and mental health practitioners? | yes |
| 115.82 (c) | Access to emergency medical and mental health services | |
| | Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate? | yes |
| 115.82 (d) | Access to emergency medical and mental health services | |
| | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident? | yes |
| 115.83 (a) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility? | yes |
| 115.83 (b) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody? | yes |
| 115.83 (c) | Ongoing medical and mental health care for sexual abuse | |

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| | victims and abusers | |
| | Does the facility provide such victims with medical and mental health services consistent with the community level of care? | yes |
| 115.83 (d) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.) | na |
| 115.83 (e) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.) | na |
| 115.83 (f) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate? | yes |
| 115.83 (g) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident? | yes |
| 115.83 (h) | Ongoing medical and mental health care for sexual abuse victims and abusers | |
| | If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.) | na |

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| 115.86 (a) | Sexual abuse incident reviews | |
| | Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded? | yes |
| 115.86 (b) | Sexual abuse incident reviews | |
| | Does such review ordinarily occur within 30 days of the conclusion of the investigation? | yes |
| 115.86 (c) | Sexual abuse incident reviews | |
| | Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners? | yes |
| 115.86 (d) | Sexual abuse incident reviews | |
| | Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse? | yes |
| | Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility? | yes |
| | Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse? | yes |
| | Does the review team: Assess the adequacy of staffing levels in that area during different shifts? | yes |
| | Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff? | yes |
| | Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager? | yes |
| 115.86 (e) | Sexual abuse incident reviews | |
| | Does the facility implement the recommendations for improvement, or document its reasons for not doing so? | yes |

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| 115.87 (a) | Data collection | |
| | Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions? | yes |
| 115.87 (b) | Data collection | |
| | Does the agency aggregate the incident-based sexual abuse data at least annually? | yes |
| 115.87 (c) | Data collection | |
| | Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice? | yes |
| 115.87 (d) | Data collection | |
| | Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews? | yes |
| 115.87 (e) | Data collection | |
| | Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.) | na |
| 115.87 (f) | Data collection | |
| | Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.) | na |
| 115.88 (a) | Data review for corrective action | |
| | Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas? | yes |
| | Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis? | yes |
| | Does the agency review data collected and aggregated pursuant | yes |

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| | to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole? | |
| 115.88 (b) | Data review for corrective action | |
| | Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse? | yes |
| 115.88 (c) | Data review for corrective action | |
| | Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means? | yes |
| 115.88 (d) | Data review for corrective action | |
| | Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility? | yes |
| 115.89 (a) | Data storage, publication, and destruction | |
| | Does the agency ensure that data collected pursuant to § 115.87 are securely retained? | yes |
| 115.89 (b) | Data storage, publication, and destruction | |
| | Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means? | yes |
| 115.89 (c) | Data storage, publication, and destruction | |
| | Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available? | yes |
| 115.89 (d) | Data storage, publication, and destruction | |
| | Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise? | yes |
| 115.401 (a) | Frequency and scope of audits | |

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| | During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.) | yes |
| 115.401 (b) | Frequency and scope of audits | |
| | Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.) | yes |
| | If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.) | na |
| | If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.) | na |
| 115.401 (h) | Frequency and scope of audits | |
| | Did the auditor have access to, and the ability to observe, all areas of the audited facility? | yes |
| 115.401 (i) | Frequency and scope of audits | |
| | Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)? | yes |
| 115.401 (m) | Frequency and scope of audits | |
| | Was the auditor permitted to conduct private interviews with inmates, residents, and detainees? | yes |
| 115.401 (n) | Frequency and scope of audits | |
| | Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel? | yes |
| 115.403 | Audit contents and findings | |

| (f) | | |
|------------|---|-----|
| | The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.) | yes |